Fracture Mechanics Design in Civil and Mechanical Engineering: Two High-Impact Applications

> Roberto Ballarini Thomas and Laura Hsu Professor University of Houston

> > Chongqing University December 30, 2019





Minmao Liao







 An unbonded concrete overlay (UBCO) is a Portland cement concrete (PCC) overlay that is separated from an existing PCC pavement by an asphalt concrete (AC) interlayer.

# Research methodology

• Fracture mechanics-based load-carrying capacity equivalency design paradigm





### The codes up to the early 1990's



 $\phi$   $(f_t = 4\phi\sqrt{f_c'})$ . Does not agree with experimental data! Over-predicts capacity!

Strength Theories vs. LEFM

Plasticity vs. Fracture Mechanics Delo A, e of Oar = ou P, o out e or size independent "size" a scale effect  $\begin{array}{c} \textcircled{O} \notin \textcircled{O} \\ \hline & \fbox{O} \\ \hline & \rall \\$ 



What material property is this test measuring?



- Failure of headed anchors reflects a progressive crack propagation process; (Ballarini *et al.*, 1985) Two-dimensional configuration
- Experimental and analytical investigation of LOK test and pullout problem by changing the position of the support reactions

### Stress Intensity Factors







$$\begin{split} \alpha(\xi) &= \frac{-1}{2\pi(\kappa+1)} \frac{\partial}{\partial \xi} \left( F_x + iF_y \right), \\ \beta(\xi) &= \frac{\mu e^{i\theta}}{\pi i(\kappa+1)} \frac{\partial}{\partial \xi} \left\{ [u_r] + i[v_\theta] \right\}, \\ \psi(\tau) &= \frac{\mu e^{i\theta}}{\pi i(\kappa+1)} \frac{\partial}{\partial \tau} \left\{ [u_r] + i[v_\theta] \right\}, \end{split}$$

 $-(\nabla\phi\phi - i\nabla\rho\phi)^{\dagger} = 0$   $-(\nabla\gamma\gamma - i\nabla\gamma\gamma)^{-} = 0$ \*\*\*\*\*\*\*\*\* 1111111  $\left(\frac{\partial u}{\partial x} + i\frac{\partial v}{\partial x}\right)^{+} = 0$ 

$$\int_{0}^{c} \alpha(\xi) \left\{ \frac{\kappa-1}{\xi-x} + \mathbf{K}_{1}(\mathbf{x},\xi) - \mathbf{K}_{2}(\mathbf{x},-\xi) \right\} d\xi + \int_{0}^{c} \overline{\alpha(\xi)} \left\{ \mathbf{K}_{2}(\mathbf{x},\xi) - \mathbf{K}_{1}(\mathbf{x},-\xi) \right\} d\xi$$
  
+  $\pi \mathbf{i} (\kappa+1) \alpha (\mathbf{x}) + \int_{0}^{c} \beta(\rho) \left\{ \frac{-2}{\rho-\mathbf{x}} + \mathbf{K}_{3}(\mathbf{x},\rho) - \mathbf{K}_{4}(\mathbf{x},-\rho) \right\} d\rho$   
+  $\int_{0}^{c} \overline{\beta(\rho)} \left\{ \mathbf{K}_{4}(\mathbf{x},\rho) - \mathbf{K}_{3}(\mathbf{x},-\rho) \right\} d\rho$   
+  $\int_{0}^{d} \overline{\beta(\rho)} \left\{ \mathbf{K}_{4}(\mathbf{x},\rho) - \mathbf{K}_{3}(\mathbf{x},-\rho) \right\} d\rho$   
+  $\int_{0}^{d} \psi(\tau) \mathbf{K}_{9}(\mathbf{x},\tau) d\tau + \int_{0}^{d} \overline{\psi(\tau)} \mathbf{K}_{10} (\mathbf{x},\tau) d\tau + f_{1}(\mathbf{x}) = 0 \quad -c \leq \mathbf{x} \leq c$ 

$$\int_{0}^{c} \left[ \alpha(\xi) - \alpha(\xi) \right] d\xi = \frac{P}{2\pi i (\kappa+1)}$$

$$\int_{0}^{c} \left[ \beta(\xi) - \beta(\xi) \right] d\xi + \int_{0}^{\ell} \left[ \psi(\tau) - \psi(\tau) \right] d\tau = 0$$

$$\int_{0}^{c} \left[ \beta(\xi) - \beta(\xi) \right] d\xi + \int_{0}^{\ell} \left[ \psi(\tau) - \psi(\tau) \right] d\tau = 0$$

All physical quantities can be obtained after solving numerically the equations (3.4)-(3.8). In particular, the stress intensity factor, defined by

$$K_{\rm I} - iK_{\rm II} = \lim_{\tau \to l^+} \sqrt{\left[2\pi(\tau - l)\right]} \left(\sigma_{\phi\phi} - i\sigma_{\phi\phi}\right) \tag{3.10}$$

can be directly related to the dislocation density  $\psi(\tau)$  by taking the asymptotic form of (3.6). In terms of dimensionless quantities arising from the numerical scheme, the result is

$$(K_{\rm I} - iK_{\rm II}) (c^{\frac{1}{2}}/P) = 2^{\frac{1}{2}} \pi^{\frac{3}{2}} e^{-i\theta} \sqrt{(l/c)} \psi (1), \qquad (3.11)$$

where

$$\psi(\tau) = \frac{\psi(s)}{(1-s^2)^{\frac{1}{2}}} \frac{P}{c}; \quad s = \frac{2\tau}{l} - 1.$$
(3.12)



























#### The new code formulas are based on LEFM



Ballarini *et al.* 1986,1987; Elfgren 1998; Elfgren and Ohlsoon 1992; Eligehausen and Sawade 1989; Eligehausen and Balogh 1995; Eligehausen *et al.* 2006; Fuchs *et al.* 1995; Karihaloo 1996; Krenchel and Shah 1985; Ozbolt et al. 1992,1999; Vogel and Ballarini 1999; Piccinin *et al.* 2010,2012

#### The pullout test is basically a fracture toughness test; it obeys The strongest size effect (-1/2)





# Very shallow embedments and with prestress

#### Experiments



#### Crack Profiles: *d/c=2*



- Crack profiles obtained from visual inspection;
- LEFM captures inclination and shape;

## Group anchors and anchors near free edges



The codes still maintain some of the old approach: for a group, multiply the LEFM formula by:

 $\frac{A_{Nc}}{A_{Nco}}$ 

The ratio of the projected areas of the break out cone associated with a group of *N* anchors and an isolated anchor, respectively does not correctly reflect the edge effects. It is overly conservative.

The Commentary then continues with modifications to the design formulas that reduce the conservatism in the design, with certain restrictions.
#### Toy problem showing this is incorrect





$$K_{Ic} = P_c d^{-3/2} f\left(\frac{l}{c}, \frac{d}{c}, \frac{s}{d}, v\right)$$

$$\frac{P_c}{K_{Ic}d^{3/2}} \equiv g = \min\left[1/f\right]$$











$$A_{Nc} = \left[ \left( \sqrt{N} - 1 \right) s + 3h_{ef} \right]^2$$
$$\frac{P_{ult,N}}{NP_{ult,o}} = \frac{\left[ \left( \sqrt{N} - 1 \right) \frac{s}{3h_{ef}} + 1 \right]^2}{N}$$

setting  $N = \infty$ 

$$\frac{P_{ult,N=\infty}}{NP_{ult,o}} = \left(\frac{s}{3d}\right)^2$$

s/d=5,3,1.5,1.2,1.0 the capacity ratios are 1.0, 1.0, 0.25, 0.16 and 0.11, respectively (for s/d=5 and s/d=1 the Code considers the anchors as non-interacting). The 75-90% range of the reduction prescribed by the Code is significantly larger than the ~20-30% reduction predicted by the simulations.

#### Suggestion

With the advent of powerful and sophisticated computational approaches to fracture simulation, derive capacity formulas through simulation.

## Background



Thin rim catastrophic rim fracture



- Thin-rim gears desired for reduced weight.
- Stress fields and failure characteristics significantly different for thinrim gears compared to conventional gears.
- Catastrophic failures have occurred in thin-rim gears.
- Safety and reliability can not be sacrificed.

# Definition of Backup Ratio $(m_B)$





Figure 1.2.1.--Gear tooth bending stress index rim thickness correction factor (AGMA, 1990).

## Objectives

Develop design guidelines to prevent rim fracture failure modes in gear tooth bending fatigue.

## Crack Modeling Using Finite Element Method



Predicted crack path

#### Crack Propagation Angle and Growth Rate

$$\theta_m = 2 \tan^{-1} \left[ \frac{\frac{K_I}{K_{II}} \pm \sqrt{\left(\frac{K_I}{K_{II}}\right)^2 + 8}}{\frac{4}{4}} \right]$$







# **Analysis Procedure**



#### Typical Finite Element Gear Model

Tooth load at HPSTC Fixed inner-hub B.C.s  $\not$ \$ \$ 4 Slots

# **Load Case Locations for FEM**



0.26-mm crack size, 68 N-m driver gear torque.

# **Test Gears**



# Definition of Backup Ratio $(m_B)$



# Definition of Initial Crack Location ( $\theta_0$ )







Initial crack location:  $\theta_0 = 120^\circ$ 



Initial crack location:  $\theta_0 = 114^\circ$ 



Initial crack location:  $\theta_0 = 109^\circ$ 



Initial crack location:  $\theta_0 = 104^\circ$ (max tensile)



Initial crack location:  $\theta_0 = 99^\circ$ 



Initial crack location:  $\theta_0 = 94^\circ$ 



Initial crack location:  $\theta_0 = 88^\circ$ (root centerline)



Initial crack location:  $\theta_0 = 83^\circ$ 



Initial crack location:  $\theta_0 = 78^\circ$ 

#### Failure mode: Rim fracture



Initial crack location:  $\theta_0 = 73^\circ$ 

#### Failure mode: Rim fracture



Initial crack location:  $\theta_0 = 68^\circ$ 

#### Failure mode: Rim fracture



#### **Stress Intensity Factors**




Backup ratio:  $m_B = 1.0$ 

Tooth/rim fracture transition:  $\theta_0 = 81^\circ$ 



Backup ratio:  $m_B = 1.1$ 

Tooth/rim fracture transition:  $\theta_0 = 76^\circ$ 



Backup ratio:  $m_B = 1.2$ 

Tooth/rim fracture transition:  $\theta_0 = 71^\circ$ 



Backup ratio:  $m_B = 1.3$ 

Tooth/rim fracture transition: All tooth fractures



Backup ratio:  $m_B = 1.0$ 

Tooth/rim fracture transition:  $\theta_0 = 81^\circ$ 



Backup ratio:  $m_B = 0.9$ 

Tooth/rim fracture transition:  $\theta_0 = 86^\circ$ 



Backup ratio:  $m_B = 0.8$ 

Tooth/rim fracture transition:  $\theta_0 = 91^\circ$ 



Backup ratio:  $m_B = 0.7$ 

Tooth/rim fracture transition:  $\theta_0 = 97^\circ$ 



Backup ratio:  $m_B = 0.6$ 

Tooth/rim fracture transition:  $\theta_0 = 102^\circ$ 



Backup ratio:  $m_B = 0.5$ 

Tooth/rim fracture transition:  $\theta_0 = 107^\circ$ 

# Validation of Finite Element Modeling

#### Backup ratio = 3.3



#### Design Map

T = tooth fractures R = rim fractures C = compression



#### Mode I Stress Intensity Factors



#### Mode I Stress Intensity Factors



#### Crack Propagation Angle and Growth Rate

$$\theta_m = 2 \tan^{-1} \left[ \frac{\frac{K_I}{K_{II}} \pm \sqrt{\left(\frac{K_I}{K_{II}}\right)^2 + 8}}{\frac{4}{4}} \right]$$







#### Design Map

T = tooth fractures R = rim fractures N = no fracture

